

To: SDIC Securities (Hong Kong) Limited  
致: 國投證券(香港)有限公司  
39/F, One Exchange Square, Central, Hong Kong  
香港中環交易廣場一座三十九樓

## 客戶款項常設授權 Client Money Standing Authority

### Authority under Securities and Futures (Client Money) Rules

根據《證券及期貨(客戶款項)規則》所設立之常設授權

The Client Money Standing Authority covers money held or received by SDIC Securities (Hong Kong) Limited ("SDIC Securities HK") in Hong Kong (including any interest derived from the holding of the money which does not belong to SDIC Securities HK) in one or more segregated account(s) on our behalf ("Monies").

客戶款項的常設授權範圍包括國投證券(香港)有限公司(「國投證券(香港)」)在香港代表本公司開立的一個或多個獨立帳戶中持有或收到的款項(包括因持有任何不屬於國投證券(香港)的款項所獲取的利息)(「款項」)。

Unless otherwise defined, all the terms used in this letter shall have the same meanings as in the Securities and Futures Ordinance and the Securities and Futures (Client Money) Rules as amended from time to time.

除另有說明,本授權書之名詞應與不時修訂的《證券及期貨條例》及《證券及期貨(客戶款項)規則》中的定義相同。

We authorize SDIC Securities HK to:

本公司授權國投證券(香港):

- combine or consolidate any or all segregated accounts, of any nature whatsoever and either individually or jointly with others, maintained by SDIC Securities HK or any of its Affiliates (as defined in the Agreement for Securities Trading Account as amended from time to time) and SDIC Securities HK may transfer any sum of Monies to and between such segregated account(s) to satisfy our liabilities (as defined in the Agreement for Securities Trading Account as amended from time to time) to SDIC Securities HK or any of its Affiliates and that account is a segregated account;  
將國投證券(香港)或任何其聯屬人(定義見不時修訂的證券交易帳戶協議書條款)單獨或與他人共同維持的任何性質的任何或所有獨立帳戶合併或綜合。國投證券(香港)可將款項的任何款額轉給其他獨立帳戶或在獨立帳戶之間相互轉帳,以結清本公司對國投證券(香港)或任何國投證券(香港)聯屬人負有的債責(定義見不時修訂的證券交易帳戶協議書條款),而該帳戶為一個獨立帳戶;
- set-off or transfer any sum of Monies interchangeably between any of the segregated accounts maintained at any time by SDIC Securities HK or any of its Affiliates towards satisfaction of any of our liabilities to SDIC Securities HK or any of its Affiliates;  
國投證券(香港)或任何國投證券(香港)聯屬人可將款項的任何款額任何時間維持的獨立帳戶之間轉入轉出以結清本公司對國投證券(香港)或任何國投證券(香港)聯屬人負有的債責;
- transfer any funds standing from time to time in any accounts maintained at any time by us with SDIC Securities HK or any of its Affiliates to the Account (means the account or accounts (whether designated by name, number or otherwise) opened and maintained with SDIC Securities HK from time to time for use in connection with its trading in Securities) and/or to any other account(s) maintained at any time by SDIC Securities HK or any of its Affiliates;  
將本公司在任何時間在國投證券(香港)或任何國投證券(香港)聯屬人維持的帳戶不時的任何資金轉入「戶口」(指本公司不時於國投證券(香港)開立及維持,作為證券交易活動所用(不論是以名稱或號碼或其他方式定名)的一個或多個戶口)和/或本公司在任何時間在國投證券(香港)或任何國投證券(香港)聯屬人維持的任何其他帳戶;
- exchange our Monies into any other currency(ies) at the rate of exchange conclusively determined by SDIC Securities HK; and  
可將帳戶內的款項以國投證券(香港)最終確定的匯率兌換成任何其他貨幣;及
- transfer our Monies held or received by SDIC Securities HK in Hong Kong to the segregated account(s) of other securities broker(s) in Hong Kong or elsewhere.  
可將國投證券(香港)在香港為本公司持有或收到的款項轉至位於香港或海外的別家證券經紀的獨立帳戶。

We acknowledge and agree that SDIC Securities HK may do any of the things set out above without giving us notice.

本公司確認並同意,國投證券(香港)可在不通知本公司情況下進行上述任何事項。

We acknowledge and understand that client money held by SDIC Securities HK outside Hong Kong are subject to the applicable laws and regulations of the relevant overseas jurisdiction which may be different from the Securities and Futures Ordinance and

the rules made thereunder including the Securities and Futures (Client Money) Rules. Consequently, such client money may not enjoy the same protection as that conferred on client money held in Hong Kong.

本公司確認及明白，國投證券（香港）在香港以外地方收取或持有的客戶款項，是受到有關海外司法管轄區的適用法律及規例所監管的。這些法律及規例與《證券及期貨條例》及根據該條例制訂的規例（包括《證券及期貨（客戶款項）規例》）可能有所不同。因此，有關客戶款項將可能不會享有賦予在香港收取或持有的客戶款項的相同保障。

We understand that the Client Money Standing Authority is valid for a period of 12 months from the date of this letter subject to renewal by us or deemed renewal under the Client Money Rules. The Client Money Standing Authority may be revoked by giving SDIC Securities HK written notice addressed to the Customer Services Department at the address specified above or such other address which SDIC Securities HK may notify us in writing for this purpose. Such notice shall take effect upon the expiry of 14 days from the date of SDIC Securities HK actual receipt of such notice.

本公司明白，客戶款項常設授權自本信函之日起有效期 12 個月，但可由本公司續期或按照客戶款項規則規定視為續期。客戶款項常設授權可按以上載明國投證券（香港）的地址或國投證券（香港）就此目的可能書面通知本公司的其他地址，以標明客戶服務部為收件人的書面通知方式撤銷。該通知應在國投證券（香港）實際收到通知之日後 14 日屆滿時生效。

We understand that the Client Money Standing Authority shall be deemed to be renewed on a continuing basis without our written consent if SDIC Securities HK issues us a written reminder at least 14 days prior to the expiry date of the authority, and we do not object to such deemed renewal before such expiry date.

本公司明白，倘若國投證券（香港）在授權有效期屆滿前最少 14 日向本公司發出有關授權將被視為續期的書面通知，並且本公司在授權屆滿日前對於此方式將該授權延續不表示反對，則客戶款項常設授權將會在沒有本公司書面同意下被視為已續期。

This authority has been explained to us and we understand and agree to the contents of this letter.

此授權書已經向本公司解釋及說明，而本公司完全明白和同意此授權書的內容。

In the event of any difference in interpretation or meaning between the Chinese and English version of this letter, we agree that the English version shall prevail.

倘若本授權書的中文與英文本在解釋或意義方面有任何歧義，本公司意以英文本為準。

\_\_\_\_\_  
Client Authorized Signature(s) (with company chop, if applicable)

客戶簽署及公司蓋章(如適用)

Account Name: \_\_\_\_\_

帳戶名稱:

Account No: \_\_\_\_\_

帳戶號碼:

Date (DD/MM/YYYY): \_\_\_\_\_

日期(日/月/年):